

Coordinating twinning partnerships towards more adaptive governance in river basins

# Basin Report:

Questionnaire + Addendum

To review case study basins with regard to their water governance regime, context and performance

# Rhine Basin

With focus on the Dutch part Case Study Review Workshop for the NeWater project Berlin, 05. – 07.05.2010

The questionnaire was post-processed after the workshop.





#### About this questionnaire

This questionnaire was developed within the scope of the Twin2Go project. It serves to record case study data about a river basin's water governance regime, its context and its performance. An explanation of the indicators, pre-defined scores and potential data sources is provided in the guidance on this questionnaire (Twin2Go, Guidance on the Questionnaire of the Twin2Go Case Study Review Workshops. 13/03/10).

Scores to each of the indicators are assigned according the suggested score scheme proposed in the guidance. In the case of numerical indicators like indices, the numerical values are added in brackets after the score, e.g. "B (0.178)" or "C (12,534)". For a better understanding of the recorded issue, additional information is added in the "comments" column.

If not specified differently, the indicators refer to the national part of the basin of interest, i.e. the Dutch part of the Rhine basin.

A few weeks before the Case Study Review Workshop in Berlin (May 5-7 2010), the questionnaire was sent to the invited Rhine experts. So they had enough time to prepare themselves by studying the questionnaire intensively. The experts pre-filled a lot of answers and marked ambiguities and misunderstandings related to several indicators.

As the participants were well prepared, the Rhine questionnaire was completely answered in the scheduled time during the workshop. Difficulties concerning indicators were discussed in the plenum. Difficulties as well as general comments and suggestions are documented in the Rhine questionnaire.

Based on the preliminary synthesis results and discussion during the Twin2Go synthesis workshop (Stockholm, September 1-2 2010) an addendum was made with some additional parameters.

The resulting data will be post-processed and added to the Twin2Go database. Should you feel these scores do not reflect the situation of the basin accurately, or want to contest any of the information included, you may contact the project organisers. Contact information as well as additional information regarding the project and the results can be found on <u>www.twin2go.eu</u>.

Names of participating experts have been removed for confidentiality purposes.



## **Table of Contents**

| A) Water governance regime   | 4        |
|--|----------|
| <ul> <li>I) Characteristics of environmental governance regimes</li> <li>a) Water policy, institutional &amp; legal framework (formal and informal)</li> </ul>   | 4        |
| b) Formalisation of IWRM principles & Millennium Development Goals   | 6        |
| c) Decision making regarding uncertainties   | 7        |
| <ul><li>II) Actor networks with emphasis on the role and interactions of state and non-state actors and power relationships</li></ul>  | 7<br>7   |
| b) Information sharing via formal rules, dependency relationships etc.   | 8        |
| <ul><li>III) Multi-level interactions across administrative boundaries and vertical integration across levels ar<br/>horizontal integration across sectors</li></ul>   |          |
| B) Context   | 9        |
| <ul> <li>I) Societal dimension</li> <li>II) Good Governance Principles at the national level – legal basis at the national level</li> <li>III) Environmental dimension</li> </ul>  | .10      |
| C) Performance   | . 13     |
| <ul> <li>I) Progress towards stated Goals.</li> <li>II) Good governance principles as indicators for the process dimension.</li> <li>III) Stakeholder participation .</li> <li>IV) Response to climate change .</li> </ul> | 14<br>14 |
| Additional case-specific indicators  | . 16     |
| Addendum - Context   | . 18     |
| I) Basin Characteristics   | .18      |
| Addendum - Performance   | . 18     |
| I) Environmental sustainability<br>a) State of the water resources and the environment   |          |
| b) Management practices  | .21      |



# A) Water governance regime

| No.   | Indicator  | Score         | Comments   |  |  |
|-------|--|---------------|--|--|--|
| I) Cł | Characteristics of environmental governance regimes  |               |  |  |  |
| a) Wa | ater policy, institutional & legal f   | framework (fo | ormal and informal)  |  |  |
| 1.    | Domestic water legislation<br>(laws, by-laws, etc.) in place?                                  | A             | Recently renewed "integrated water law" [waterwet, 1 Jan 2010]. (excluding drinking water)   |  |  |
| 2.    | Domestic Water Law: Public<br>character of water and legal<br>status of water use rights       | A             | Public good: no private ownership over water. Users need permits.  |  |  |
| 3.    | Domestic Water Law: Explicit<br>recognition of traditional and<br>indigenous water uses        | D             | Not sure what traditional water use means. In changing the water law, traditional rights were respected (at least for a transition period). No indigenous water use. |  |  |
| 4.    | Domestic Water Law: On flow<br>availability, third party rights<br>and ecological requirements | A             | Priority scheme for use in dry season ( <i>verdringingsreeks</i> ). No allocation of water rights  |  |  |
| 5.    | Integration of domestic water legislation  | A             | Recently renewed "integrated water law" [waterwet, 1 Jan 2010]. (excluding drinking water)   |  |  |
| 6.    | Multilevel structure of domestic<br>water legislation and<br>subsidiarity                      | A             | National government, provinces, water boards, municipalities. [more recent discussions on co-<br>funding of integral measures]                                       |  |  |
| 7.    | Existence of formal domestic<br>administrative structure for<br>water governance               | A             | Ministry (develop). Rijkswaterstaat and Water boards (mostly implementation). Water boards have some legislative responsibilities as well (tax, permits).            |  |  |
| 8.    | National basin organisation or comparable arrangement  | D             | Rijkswaterstaat is responsible for the national part of the major waterways. Major rivers have their own committee / board within rijkswaterstaat                    |  |  |
| 9.    | Formalised transboundary coordination organisation   | A             | Rhine committee. Meuse, Schelde, Eems-Dollard + communication on regional waters (kleine grenswater commissies)  |  |  |



| No. | Indicator   | Score | Comments   |
|-----|---|-------|--|
| 10. | Formal institution (legislation)<br>that prescribes the basin<br>management principle                               | A     | WfD. National water plan with chapters on the main basins. Water boards arranged according to watersheds (reorganisation in 1984 hydrological boarders are guiding principle). Enchrined in the law of the water boards (1990s)  |
| 11. | Water (basin) strategies, programmes and plans  | A     |  |
| 12. | Financing mechanisms:<br>Degree of investment from<br>private sector/ public/ other<br>sources (e.g. international) | В     | No private investment  |
| 13. | Economic instruments<br>Is water for irrigation priced?   | С     | In general no price. General water board tax (farmers, etc). Tax is paid for groundwater abstraction (national and province). For discussion as part of the national delta program in relation to larger infrastructure  |
| 14. | Economic instruments<br>Is water for households priced<br>in urban areas?   | A     | Depends on city. Most cities are metered. Some flat rate for the connection (e.g. old houses in Amsterdam).  |
| 15. | Economic instruments<br>Is water for industry priced?   | A *   | Surface water abstraction for free. Groundwater taxed (paid to province and national). Drinking water paid   |
| 16. | Tradable permits related to water abstraction/use   | С     |  |
| 17. | Polluter pays principle (related to water)  | A     | Depends on pollution. True for point sources. Diffuse sources difficult to price   |
| 18. | Environmental subsidies<br>(related to water)   | A     | Played an important role in the past for point sources.  |
| 19. | Payment for ecosystem services (related to water)   | В     | Agro environmental schemes, e.g. management of field borders (blue services / blauwe diensten).<br><u>Post-processing comment:</u> The score was changed from "A/B" to "B". According to the comment,<br>ecosystem services are taken into for agriculture use, but this is not comprehensive enough to<br>justify an "A" score. |
| 20. | Tradable permits (related to water quality, maximum, allowable loads etc.)  | С     |  |



| No.   | Indicator   | Score        | Comments  |
|-------|---|--------------|---|
|       | Environmental tax (related to   | A            | Water quality: Water boards charge all that discharge. Money used for purification. For             |
| 21.   | water)  |              | groundwater: B, just used for income for the state, not labelled                                    |
|       | Presence of substituting  | A            | Post-processing comment: The score was changed from "C" to "A"                                      |
| 22.   | informal institutions for<br>management of water                          |              |   |
|       | Presence of complementary   | А            | Example: in the debate on industrial use/discharge, industries were allowed to report on progress   |
| 23.   | informal institutions for water<br>management                             |              | and organise emission reductions (worked well until European reporting was required)                |
| 23.a  | Case-specific indicator(s)  |              |   |
|       |   |              | Independent inspectorate (since about 7 years)  |
| b) Fo | rmalisation of IWRM principles  | & Millennium | Development Goals   |
|       |   | A-           | Sectors are recognised, not necessarily planned for equally. IWRM as a process. Participatory       |
|       |   |              | approach implemented. Economic value recognised   |
| 24.   | Formalised IWRM principles  |              | Post-processing comment: The score was changed from "A/B" to "A-". According to the comment,        |
|       |   |              | basic IWRM principles are incorporated in planning, even if sectors are not necessarily planned for |
|       |   |              | equally.  |
| 25.   | State of implementation of IWRM principles                                | A            |   |
|       |   | A            | Post-processing comment: The score was changed from "A + B" to "A". According to the original       |
| 26.   | Capacity to implement IWRM  |              | scores, sufficient IWRM capacities and efforts are made to increase the capacity. This justifies    |
|       |   |              | score "A".  |
|       | Is universal and non-   | А            | Goal realised   |
| 27.   | discriminatory access to safe<br>drinking water and sanitation a<br>goal? |              |   |
| 28.   | Integration of wetlands in<br>IWRM and IRBM*                              | A            |   |
| 28.a  | Case-specific indicator(s)  |              |   |



| No.   | Indicator  | Score   | Comments   |
|-------|--|---------|--|
| c) De | cision making regarding uncert                                 | ainties |  |
| 29.   | General practices for dealing with uncertainties               | A       | Various sources are explored. Long-term safety explored, scenarios are used. Yet, more advanced methods could be used. Using risk based regulation is discussed to replace norm based regulation   |
| 30.   | Dealing with uncertainties:<br>Reversible and flexible options | В       | Increasingly explored, sometimes favoured.   |
| 31.   | Dealing with uncertainties:<br>Safety margins                  | A       | Very much accepted   |
| 32.   | Are scenarios used for decision making?                        | В+      | Scenarios are explored. Water management still dominantly tailored to perform under a particular discharge <u>Post-processing comment:</u> The score was changed from "A/B/ to "B+", because although exploring scenarios, water management prefers relying on particular discharges.  |
| 33.   | Climate risks: Climate variability and change                  | A       | Since the 1990s explicitly. Delta program latest step in coping with climate change  |
| 33.a  | Case-specific indicator(s)                                     |         |  |
| -     | ctor networks with emphas                                      |         | le and interactions of state and non-state actors and power relationships  |
| 34.   | Vertical coordination<br>(governmental)                        | A       | e.g.: coordination activities for water framework or delta program   |
| 35.   | Horizontal coordination<br>(governmental)                      | В       | More cooperation. For the delta program larger regions are defined where provinces work together   |
| 36.   | Role of local governments                                      | A       | Municipalities involved in Delta program. Cooperation between water boards and municipalities<br><u>Post-processing comment</u> : The score was changed from "A/B" to "A" because according to the comment, municipalities are actually involved at higher levels, not just consulted. |
| 36.a  | Case-specific indicator(s)                                     |         |  |

This part weak on non-state actors (NGO's, interest groups, citizens) & public - private interaction.



| No.    | Indicator  | Score        | Comments  |  |
|--------|--|--------------|---|--|
| b) Inf | ormation sharing via formal rule   | es, dependen | cy relationships etc.   |  |
| 37.    | 37.       Kinds of knowledge included       B+       Tacid knowledge of people working in water board taken into account. Depends on what 'taken in account' means. Strong role in operational water management. At strategic level good connection between water management related people. Participation of other stakeholders increasing. |              |   |  |
| 38.    | Access to information =><br>about expert knowledge and<br>management plans   | B+           | Depends on the information. During policy planning less active dissemination or at the level of flyers & brochures. Consultations are common. Information about water quality etc all readily available.<br><u>Post-processing-comment:</u> The score was changed from "A/B" to "B+", because active knowledge dissemination is not common during the whole planning cycle. |  |
| 38.a   | Case-specific indicator(s)   |              |   |  |
| III) N | III) Multi-level interactions across administrative boundaries and vertical integration across levels and horizontal   |              |   |  |

## integration across sectors

## a) Centralisation

| 39.  | One level one actor?                         | A- | Disputable whether other actors participate significantly. Discussion on the hypothesis that a shared responsibility is 'better'. E.g. in water purification a more centralised approach might well be more efficient. Efficiency and adaptiveness different requirements? |
|------|--|----|--|
| 40.  | Degree of centralisation                     | В  |  |
| 41.  | Technical capacity and economies<br>of scale | А  | Discussion on bigger water boards to make use of economies of scale  |
| 42.  | Legal obligations and<br>responsibility      | A  |  |
| 42.a | Case-specific indicator(s)                   |    |  |



## B) Context

| No.   | Indicator                             | Score             | Comments   |
|-------|---------------------------------------|-------------------|--|
| I) So | ocietal dimension                     |                   |  |
|       |                                       | NL: 19.8%         | Source: United Nations Population Division (2008): World Urbanization Prospects: The |
|       |                                       | D: 26.6%          | 2007 Revision Population Database, http://esa.un.org/unup/                           |
| 43.   | Proportion of the population          | L: 17.2%          | Values for 2005  |
|       | living in rural areas                 | F: 23.3%          |  |
|       |                                       | CH: 26.7%         |  |
|       |                                       | NL: A (0.964)     | Human Development Index  |
|       |                                       | D: A (0.947)      | Source: UNDP: Human Development Report, online at http://hdr.undp.org/en/statistics/ |
| 44.   | State of societal development         | L: A (0.960)      | Values for 2007  |
|       |                                       | F: A (0.961)      |  |
|       |                                       | CH: A (0.960)     |  |
|       |                                       | NL: 30.9 (B)      | Gini Index   |
|       |                                       | D: 28.3 (A)       | Source: UNDP: Human Development Report 2009,   |
| 45.   | Social sustainability (Gini           | L: 30.8 (B)       | http://hdr.undp.org/en/media/HDR 2009 EN Complete.pdf - Values were calculated       |
|       | Index)                                | F: 32.7 (B)       | based on data by World Bank (2009d)  |
|       |                                       | CH: 33.7 (B)      |  |
|       |                                       | NL: A (34,724 \$) | GDP per capita (US-\$, PPP-corrected)  |
|       |                                       | D: A (30,496 \$)  | Source: World Bank, http://siteresources.worldbank.org/ICPINT/Resources/icp-final-   |
| 46.   | Economic sustainability (e.g.<br>GDP) | L: A (70,014 \$)  | tables.pdf   |
|       |                                       | F: A (29,644 \$)  | Values for 2005  |
|       |                                       | CH: A (35,520 \$) |  |



| No.  | Indicator                               | Score        | Comments  |
|------|---|--------------|---|
|      |   | NL: A (8.9)  | Corruption Perception Index   |
|      |   | D: A (8.0)   | Source: Transparency International,   |
| 47.  | Effectiveness of formal<br>institutions | L: A (8.2)   | http://www.transparency.org/policy research/surveys indices/cpi/2009/cpi 2009 table |
|      | institutions                            | F: B (6.9)   | Values for 2009   |
|      |   | CH: A (9.0)  |   |
|      |   | NL: A (AAA)  | Rating by the rating agency "Standards & Poor                                       |
|      | Trustworthiness of economic             | D: A (AAA)   | Source: The Guardian (article from 22.05.2009),                                     |
| 48.  | institutional setting - degree of       | L: A (AAA)   | http://www.guardian.co.uk/business/2009/may/22/recession-government-                |
|      | risk for foreign direct<br>investment   | F: A (AAA)   | borrowing#zoomed-picture  |
|      |   | CH: A (AAA)  |   |
|      |   | NL: A (1.00) | Press Freedom Index   |
|      | Presence of avenues of dissent          | D: A (3.50)  | Source: Reporters without Borders, <u>http://en.rsf.org/press-freedom-index-</u>    |
| 49.  | – press freedom, freedom of             | L: A (4.00)  | <u>2009,1001.html</u>   |
|      | speech                                  | F: B (10.67) | Values for 2009   |
|      |   | CH: A (1.00) |   |
| 49.a | Case-specific indicator(s)              |              |   |

# II) Good Governance Principles at the national level – legal basis at the national level

| 50. | Participatory regarding decision making in the water sector                      | A | Consultations formally established, WfD  |
|-----|--|---|--|
| 51. | Transparency regarding water allocation  | A | Wet openbaarheid bestuur   |
| 52. | Effectiveness and efficiency<br>regarding decision making in<br>the water sector | A | [strange definition of question. Would expect 'control mechanism' rather than <b>regarding</b><br>decision making] |
| 53. | Equitable and inclusive  | A |  |



| No.    | Indicator   | Score                            | Comments   |  |  |  |
|--------|---|----------------------------------|--|--|--|--|
| 54.    | Predictability – with regard to IWRM and climate change | A                                | What is difference between A&B?  |  |  |  |
| 54.a   | Case-specific indicator(s)                              |                                  |  |  |  |  |
| III) E | III) Environmental dimension                            |                                  |  |  |  |  |
|        |   | Cfb (almost entire basin)        | Source: Kottek, M., J. Grieser, C. Beck, B. Rudolf, and F. Rubel (2006), http://koeppen- |  |  |  |
| 55.    | Köppen-Geiger climate<br>classification (river basin)   | ET and Dfc (source               | geiger.vu-wien.ac.at/present.htm#maps  |  |  |  |
|        |   | area)                            | For period from 1951 to 2000   |  |  |  |
|        |   | h, humid (upstream)              | Source: GWSP Digital Water Atlas (2008), GWSP Digital Water Atlas (2008),                |  |  |  |
|        |   | Sh, sub-humid                    | http://atlas.gwsp.org/index.php?option=com wrapper&Itemid=53                             |  |  |  |
| 56.    | Climate Moisture Index                                  | (midstream)                      | &id desc=98&itemId desc=63&id ds=146&itemId ds=52  |  |  |  |
|        |   | h (downstream)                   | &header=Climate%20Moisture%20Index&site=b1_cmi_anWSAG1_0                                 |  |  |  |
|        |   | A, low (upstream)                | Source: GWSP atlas (2008),   |  |  |  |
|        |   | B, moderate                      | http://atlas.gwsp.org/index.php?option=com wrapper&Itemid=53                             |  |  |  |
|        | Climate Moisture Index                                  | (midstream)                      | &id desc=126&itemId desc=63&id ds=171&itemId ds=52&header=Coefficient%20of               |  |  |  |
| 57.    | Coefficient of Variation                                | A, low (downstream)              | <u>%20</u>   |  |  |  |
|        |   |                                  | Variation%20for%20Climate%20Moisture%20Index&site=b2 cmi annual cv                       |  |  |  |
|        |   |                                  | Reported are the dominant values in the Rhine basin                                      |  |  |  |
|        |   | NL: C (5,610 m <sup>3</sup> /yr) | Source: UNESCO, UN World Water Development Report,                                       |  |  |  |
|        |   | D: D (1,870 m <sup>3</sup> /yr)  | http://www.greenfacts.org/en/water-resources/figtableboxes/3.htm                         |  |  |  |
| 58.    | Per Capita Equivalent of TARWA                          | L: C (6,750)                     | Values for 2005  |  |  |  |
|        |   | F: D (3,370 m <sup>3</sup> /yr)  |  |  |  |  |
|        |   | CH: C (7,470 m <sup>3</sup> /yr) |  |  |  |  |
|        | Average water availability at the                       | A (400-1,000 mm/yr)              | Source: University of Kassel, WaterGAP 2.0, http://www.env-                              |  |  |  |
| 59.    | river basin level (1995)                                |                                  | edu.gr/Documents/World%20Water%20in%202025.pdf   |  |  |  |



| No.  | Indicator  | Score                              | Comments   |
|------|--|------------------------------------|--|
|      | Annual renewable water supply  | C (1,000-1,700 m <sup>3</sup> /yr) | Source: World Resources Institute, EarthTrends 2001,                                 |
| 60.  | per person by river basin (1995)                                       |                                    | http://earthtrends.wri.org/pdf library/maps/2-4 m WaterSupply1995.pdf                |
|      | Projected annual renewable   | C (1,000-1,700 m <sup>3</sup> /yr) | Source: World Resources Institute, EarthTrends 2001,                                 |
| 61.  | water supply per person by river basin (2025)                          |                                    | http://earthtrends.wri.org/pdf_library/maps/2-4_m_WaterSupply2025.pdf                |
|      |  | B (low) with patches of            | Source: UNESCO, World Water Development Report II,                                   |
| 62.  | Relative Water Stress Index  | E (very high) in                   | http://wwdrii.sr.unh.edu/download.html   |
|      |  | downstream area                    | The illustration (I4) has bad quality. Please check if the judgement is appropriate. |
|      |  | NL: C (medium)                     | Source: Oxford Centre for Water Research (OCWR), 2008-2010,                          |
|      |  | D: B (medium low)                  | http://ocwr.ouce.ox.ac.uk/research/wmpg/cvi/cvi leaflet.pdf                          |
| 63.  | Climate Vulnerability Index  | L: n/a                             |  |
|      |  | F: B (medium low)                  |  |
|      |  | CH: A (low)                        |  |
| _    | Degree to which water quality  | В                                  | Drinking and swimming water.   |
| 64.  | status restricts usability of<br>users' types                          |                                    |  |
| 65.  | Extent of flow and channel modification                                | С                                  |  |
|      | Impact of land-use changes on  | С                                  | Not sure about negative impact on ecosystem services. Negative impact on regulating  |
| 66.  | hydrological processes   |                                    | service of floodplains   |
|      | Uncertainty associated to  | C (0.2-0.4)                        | Source: Illustration from MAGICC-SCENGEN tool at the end of the guidance document.   |
| 67.  | climate change predictions<br>regarding precipitation for the<br>basin |                                    | Better to look at KNMI scenarios.  |
| 67.0 |  |                                    | Note: some of the hypotheses associated with indices are strange. Especially the low |
| 67.a | Case-specific indicator(s)   |                                    | performance hypotheses. little interest for salt intrusion / salinization            |



## C) Performance

| No.   | Indicator   | Score        | Score Comments   |  |  |  |
|-------|---|--------------|--|--|--|--|
| l) Pr | ) Progress towards stated Goals   |              |  |  |  |  |
|       |   | NL: A        | Source: WHO & UNICEF (2008), Progress on Drinking Water and Sanitation: Special Focus on |  |  |  |
|       | Due was a tour add out to in a blo  | D: A         | Sanitation, http://www.wssinfo.org/en/40_MDG2008.html                                    |  |  |  |
| 68.   | Progress towards sustainable<br>access to safe drinking water                         | L: A         | Values for 2006  |  |  |  |
|       | (MDG drinking water target)   | F: A         |  |  |  |  |
|       |   | CH: A        |  |  |  |  |
|       |   | NL: A (100%) | Source: UN statistics of MDG progress, http://mdgs.un.org/unsd/mdg/Data.aspx             |  |  |  |
|       | Drenertien of non-vlation with  | D: A (100%)  | Values for 2006  |  |  |  |
| 69.   | Proportion of population with<br>access to improved drinking<br>water                 | L: A (100%)  |  |  |  |  |
|       |   | F: A (100%)  |  |  |  |  |
|       |   | CH: A (100%) |  |  |  |  |
|       |   | NL: A (100%) | Source: UN statistics of MDG progress, http://mdgs.un.org/unsd/mdg/Data.aspx             |  |  |  |
|       |   | D: A (100%)  | Values for 2006  |  |  |  |
| 70.   | Proportion of rural population with access to improved                                | L: A (100%)  |  |  |  |  |
|       | drinking water  | F: A (100%)  |  |  |  |  |
|       |   | CH: A (100%) |  |  |  |  |
|       |   | NL: A        | Source: WHO & UNICEF (2008), Progress on Drinking Water and Sanitation: Special Focus on |  |  |  |
|       | Due une estatuaria estatuaria el la   | D: A         | Sanitation, http://www.wssinfo.org/en/40 MDG2008.html                                    |  |  |  |
| 71.   | Progress towards sustainable<br>access to basic sanitation<br>(MDG sanitation target) | L: A         | Values for 2006  |  |  |  |
|       |   | F: n/a       |  |  |  |  |
|       |   | CH: A        |  |  |  |  |



| No.                               | Indicator  | Score         | Comments   |
|-----------------------------------|--|---------------|--|
|                                   |  | NL: A (100%)  | Source: UN statistics of MDG progress, http://mdgs.un.org/unsd/mdg/Data.aspx   |
|                                   | Dreparties of population with  | D: A (100%)   | Values for 2006  |
| 72.                               | Proportion of population with<br>access to improved sanitation<br>facilities   | L: A (100%)   |  |
|                                   |  | F: n/a        |  |
|                                   |  | CH: A (100%)  |  |
|                                   |  | NL: A (100%)  | Source: UN statistics of MDG progress, http://mdgs.un.org/unsd/mdg/Data.aspx   |
|                                   |  | D: A (100%)   | Values for 2006  |
| 73.                               | Proportion of rural population with access to improved   | L: A (100%)   |  |
|                                   | sanitation facilities  | F: n/a        |  |
|                                   |  | CH: A (100%)  |  |
| 73.a                              | Case-specific indicator(s)   |               |  |
|                                   | •  |               |  |
|                                   |  | as indicators | s for the process dimension  |
| II) G                             |  | B             | s for the process dimension<br>Experiments with co-decision making. Decision mandate with elected government   |
| II) G                             | ood governance principles<br>Participatory regarding<br>decision making in the water   |               | •  |
| <b>II) G</b><br>74.<br>75.        | ood governance principles<br>Participatory regarding<br>decision making in the water<br>sector<br>Transparency regarding water<br>allocation<br>Effectiveness and efficiency   | В             | •  |
| <b>II) G</b><br>74.<br>75.        | ood governance principles<br>Participatory regarding<br>decision making in the water<br>sector<br>Transparency regarding water<br>allocation   | B<br>A        | Experiments with co-decision making. Decision mandate with elected government  |
| <b>II) G</b><br>74.               | ood governance principles<br>Participatory regarding<br>decision making in the water<br>sector<br>Transparency regarding water<br>allocation<br>Effectiveness and efficiency<br>regarding decision making in                     | B<br>A        | Experiments with co-decision making. Decision mandate with elected government Opinions differ on efficiency of national government. Plans for budget cuts. No clear waste of           |
| <b>II) G</b><br>74.<br>75.<br>76. | ood governance principles<br>Participatory regarding<br>decision making in the water<br>sector<br>Transparency regarding water<br>allocation<br>Effectiveness and efficiency<br>regarding decision making in<br>the water sector | B<br>A<br>A   | Experiments with co-decision making. Decision mandate with elected government Opinions differ on efficiency of national government. Plans for budget cuts. No clear waste of resources |



| No.   | Indicator   | Score | Comments   |
|-------|---|-------|--|
| 79.   | Deliberative engagement opportunities   | В     | Lower level to which influences decision (some window dressing). Information about deliberative arenas & active invitation limited. For delta program more active involvement is sought for. Challenge to find appropriate representation of citizens & to get citizens interested |
| 80.   | Inclusiveness of stakeholder<br>participation   | A     | At national level: OWN bodies  |
| 80.a  | Case-specific indicator(s)  |       |  |
| IV) F | Response to climate change  | 9     |  |
| 81.   | Strategy for adaptation to<br>climate change in the water<br>sector   | A     |  |
| 82.   | Availability of specific knowledge enabling adaptation  | A     | Activites A-D are taken<br><u>Post-processing comment:</u> The score was changed from "A-D" to "A", because if all four kinds of<br>knowledge are available, the highest level should be chosen as score.  |
| 83.   | Awareness of water managers regarding adaptation to climate change  | A     | Shared vision among water managers about urgency. The options are contested.   |
| 84.   | Coordinated implementation<br>process regarding adaptation<br>to climate change: Program /<br>Plan of activities and measures | A     | Implementation and new design  |
| 85.   | Operational activities<br>(measures)  | A     |  |
| 86.   | Ways to deal with climate variability (floods and droughts)   | A     | Drie lagen benadering (infrastructure, spatial planning & calamities)  |
| 86.a  | Case-specific indicator(s)  |       |  |



## Additional case-specific indicators

Please briefly define all case-specific indicators, which you have added, in the following table.

| No.                                   | Indicator                    | Definition   | Hypothesis/ statement<br>on relationship | Scoring scheme | How to assign scores (i.e.<br>which indicators/ on which<br>basis are scores allocated) | Comment on data source |
|---------------------------------------|------------------------------|--|--|----------------|---|------------------------|
|                                       | Case-specific<br>indicator 1 | Number of ministries involved,<br>maybe through a ministerial<br>steering group? |  | - A            | (A)   |                        |
|                                       | Case-specific<br>indicator 2 | Salt intrusion related indicators  |  | - A            | (A)   |                        |
|                                       | Case-specific<br>indicator 3 | Privatisation  |  | - A            | (A)   |                        |
|                                       | Case-specific<br>Indicator 4 |  |  | - A            | (A)   |                        |
| · · · · · · · · · · · · · · · · · · · | Case-specific<br>Indicator 5 |  |  | - A            | (A)   |                        |

#### General notes / comments / experience:

- Goals set for water management (development, norms / risks, integration & weighing sectoral interests)
- Recognition of long term / future interest
- Explicitly planning for ecosystem services, especially regulating services (of landscapes etc)
- (pays attention to / restores) mutual dependency
- missing: monitoring body required
- hypotheses strange in relation to indicators (especially environmental dimension)
- be aware of self fulfilling prophecy in performance indicators
- covering the issue of privatisation



- attention for / cooperation with non-state actors (now questionnaire is mostly about government actors) (from planning to public private partnerships & monitoring)
- dynamic norm setting versus rigid norms
- open for experiments & pilots
- encouraging exchange and learning
- some simple 'quantitative indicators' (e.g. number of CoPs established, number of people at public hearings & consultations)
- attention for: (number of) people with directly water quality and quantity dependent jobs / livelihood (consider: how affected is society to variation of water quality)
- dependency between indicators or trade-offs
- conflict resolution mechanisms / mediation in place
- ٠



## Addendum - Context

| No.   | Indicator                | Score   | Comments   |  |  |  |
|-------|--------------------------|---|--|--|--|--|
| I) Ba | I) Basin Characteristics |   |  |  |  |  |
| 67a   | Sub-Basin Size           | sin Size       28,273 km <sup>2</sup> Source: Van Leussen, W., van Slobbe, E., and G. Meiners (2007): Transboundary Governance an the Problem of Scale for the Implementation of the European Water Framework Directive at the Dutch-German Border. [online] URL:         http://www.newater.uos.de/caiwa/data/papers%20session/D4/VanLeussen-paper%20CAIWA%202007.pdf (= CAIWA conference paper of session D4) |  |  |  |  |
| 67b   | Transboundary            | Yes   | The Rhine basin, which covers an area of about 185,000 km <sup>2</sup> , is shared by 9 countries: The Netherlands, Germany, Belgium, Luxembourg, France, Switzerland Austria, Liechtenstein and Italy. Source: Buiteveld, H. (2006): Research Action Plan Rhine. [online] URL:<br><u>http://www.newater.uos.de/deliverables/D321%20-%20D322%20-%20D323%20-</u><br><u>%20Rap_rhine_2005.pdf</u> (= Deliverable 3.2.1-3 of the NeWater project) |  |  |  |

#### Addendum - Performance

#### Notes:

Information on several indicators were extracted from the current water management plan for the Deltarijn. The Deltarijn catchment covers the total Dutch part of the Rhine basin. About 10% of the Deltarijn area are located in Germany.

Ministerie van Verkeer en Waterstaat, Ministerie van Volkshuisvesting, Ruimtelijke Ordening en Milieubeheer, Ministerie van Landbouw, Natuur en Voedselkwaliteit, Ministerium für Umwelt und Naturschutz, Landwirtschaft und Verbraucherschutz des Landes Nordrhein-Westfalen and Niedersächsisches Ministerium für Umwelt und Klimaschutz (2009): Internationaler Bewirtschaftungsplan. Bearbeitungsgebiet Deltarhein. 2009 - 2015. [online] URL: <a href="http://www.helpdeskwater.nl/publish/pages/24004/bwp">http://www.helpdeskwater.nl/publish/pages/24004/bwp</a> deltarhein 2009-2015 nl nrw ni duitstalig.pdf

Other information sources used were an ICPR report about biodiversity in the Rhine and the International Warning- and Alarm Plan Rhine' published by ICPR.

- International Commission for the Protection of the Rhine (ICPR) (2002): Das Makrozoobenthos des Rheins 2000. 68. Plenarsitzung – 2./3. Juli 2002 - Luxemburg. [online URL]: <u>http://www.iksr.org/uploads/media/bericht\_nr\_128d.pdf</u>

- International Commission for the Protection of the Rhine (ICPR) (2009): International Warning- and Alarm Plan Rhine. State: 01.07.09. [online] URL: http://www.iksr.org/fileadmin/user\_upload/Dokumente\_en/International\_Warning- and Alarm\_Plan.pdf

| No.   | Indicator   | Score | Comments   |  |  |  |
|-------|---|-------|--|--|--|--|
| I) En | I) Environmental sustainability                     |       |  |  |  |  |
| a) St | a) State of the water resources and the environment |       |  |  |  |  |
|       |   | В     | The score refers primarily to the total Rhine, but the judgement is likely to fit to the Dutch Deltarijn.                |  |  |  |
|       |   |       | "Viele charakteristische Flussarten, die im Rhein als ausgestorben oder stark dezimiert galten, gehören heute wieder zum |  |  |  |
|       |   |       | festen Bestandteil der Fauna großer Rheinabschnitte (z. B. Ephoron virgo, Heptagenia sulphurea, Psychomyia pusilla,      |  |  |  |
| 87    | Aquatic biodiversity                                |       | Aphelocheirus aestivalis, Unio tumidus etc.). [] Diese Befunde dürfen aber nicht darüber hinwegtäuschen, dass die        |  |  |  |
| 01    |   |       | durchschnittlichen Artenzahlen pro Untersuchungsbereich im Rhein zwischen Basel und Emmerich seit 1995 rückläufig        |  |  |  |
|       |   |       | sind, wenn auch im Jahre 2000 wieder eine Zunahme zu verzeichnen ist []. Einen Rückgang der Artenzahlen zwischen         |  |  |  |
|       |   |       | 1995 und 2000 ist auch am Deltarhein zu erkennen []. Die Ursachen der rückläufigen Artendichte sind noch unklar          |  |  |  |
|       |   |       | und liegen möglicherweise in der Umstrukturierung der Lebensgemeinschaft durch Neozoen. Auch fehlen viele um die         |  |  |  |
|       |   |       | Jahrhundertwende im Rhein belegte Insektenarten noch im Besiedlungsbild des Rheins []" (ICPR, 2002: 24f.).               |  |  |  |
|       | Invasive exotic species                             | С     | No Data found for the Dutch Deltarijn. The score refers primarily to the total Rhine, but is likely to fit               |  |  |  |
|       |   |       | to the Dutch Deltarijn.  |  |  |  |
| 00    |   |       |  |  |  |  |
| 88    |   |       | "Auch den Rhein haben in den 90er Jahren zahlreiche Tierarten aus regionalfaunistisch fremden Regionen oft in            |  |  |  |
|       |   |       | erheblichen Biomassen besiedelt, die zu einer mehrfachen Umstrukturierung der Lebensgemeinschaft des Rheins geführt      |  |  |  |
|       |   |       | haben (ICPR, 2002: 16)".   |  |  |  |



| No. | Indicator                       | Score   | Comments   |  |
|-----|---------------------------------|---------|--|--|
| 89  | Surface and groundwater quality | В-      | <ul> <li>Surface water:</li> <li>When applying the 'one out – all out' principle (one single parameter classified as "bad" =&gt; overall status classified as "bad") to the Dutch part of the Deltarijn area, 80% of all surface water bodies have a good chemical status (Ministerie van Verkeer en Waterstaat et al., 2009: 110).</li> <li>Depending on the parameter, 20-30% of all surface waters in the Dutch Deltarijn area achieve good biological state when compared to the "good ecological potential". Most surface water bodies have a "medium" or "dissatisfying" state, only few a "bad" state (ibid.: 113f.).</li> <li>The majority of the surface water bodies has in the Dutch Deltarijn area has a good state with regard to most physical-chemical parameters (ibid.: 115)</li> <li>When applying the 'one out – all out' principle to assess the overall ecological state (combining the various biological and physical-chemical parameters), only 2% of the surface water bodies in the Dutch Deltarijn area achieve "good" state, and about one third "medium" state (ibid.:117). However, the 'one out – all out' principle appears to strict to determine the overall score for this indicator</li> </ul> |  |
| 90  | Groundwater use                 | A       | All groundwater bodies an the Deltarijn catchment have a good quantitative status (Ministerie van Verkeer en Waterstaat et al., 2009: 89).   |  |
| 91  | Water Exploitation Index (WEI)  | B (22%) | Score at basin level (national part). Data reported by Netherlands to the EU Commission for the "Scarcity and Drought, 2. Interim report", 2010.   |  |



| No.  | Indicator                             | Score Comments |  |  |  |  |
|------|---------------------------------------|----------------|--|--|--|--|
| b) M | b) Management practices               |                |  |  |  |  |
|      |                                       | C+             | The regulation of water levels has negative ecological impacts.  |  |  |  |
| 92   | Water allocated for aquatic ecosystem |                | <ul> <li>"Bei mehr als 90 % der Gräben, Kanäle, Bäche und Seen im niederländischen Teil des Bearbeitungsgebietes Deltarhein werden der Abfluss und der Wasserstand reguliert. Für die großen Flüsse und die Übergangs- und Küstengewässer gilt dies für etwa 15 % der Gewässer. Eine aktive Wasserstandsregulierung mit hohen Sommer- und niedrigen Winterwasserständen hat in allen Gewässertypen eine bedeutende negative ökologische Auswirkung. Dies spielt bei 75 % aller Gewässer eine Rolle. In Kanälen und Seen ist außerdem die Entwässerung eine der Ursachen der hydrologischen Belastung, während in Kanälen und Bächen ein beschleunigter Abfluss aus dem Einzugsgebiet ein bedeutender negativer Faktor ist. (Ministerie van Verkeer en Waterstaat et al., 2009: 149f.)</li> </ul> |  |  |  |
| 93   | Water pollution incidents             | A              | The 'International Warning- and Alarm Plan Rhine' is the basis for quick and adequate response to pollution incidents in the Rhine basin.<br>"The objective of the Warning and Alarm System is, to pass on reports on sudden pollutions with substances noxious to water in the Rhine watershed, if the amount and concentration may detrimentally impact the Rhine water quality and to warn the authorities in charge of fighting accidents so that - Threats may be fought, - Causes may be identified - Polluters may be identified - Measures to clean up pollution may be taken - Measures to avoid and reduce damage may be taken, - Consequential damage may be avoided. (ICPR 2009: 2)"   |  |  |  |



| No. | Indicator                                       | Score | Comments  |  |
|-----|---|-------|---|--|
| 94  | Water quality monitoring                        | A     | Chemical, biological, physical-chemical and hydromorphological parameters are monitored at 51-76 metering points for surveillance monitoring. In addition, 253-561 metering points (depending on the parameter) exist for operative monitoring. (Ministerie van Verkeer en Waterstaat et al., 2009: 89). The monitoring network allows a comprehensive assessment of the state of the surface water in the Deltarijn catchment, which is reflected in the accordant management plan.  |  |
| 95  | Hydrometeorological<br>monitoring – levels      | A     | <ul> <li>"Das Messen der hydromorphologischen Parameter in den Niederlanden erfolgt in Wasserkörpern, in denen auch die biologischen und physikalisch-chemischen Parameter gemessen werden []. Für die meisten Parameter wird der gesamte Wasserkörper betrachtet. Es handelt sich dabei um das gesamte Paket der hydromorphologischen Parameter:</li> <li>Wasserhaushalt, Durchgängigkeit und Morphologie. Ein Teil der Parameter ist nicht direkt messbar, sondern aus vorhandenen Datenquellen herzuleiten. Dies betrifft in den Niederlanden zum Beispiel Niederschlags- und Verdunstungsdaten des Wetterdienstes, Wasserstands- und Abflussdaten aus dem MWTL-Programm von Rijkswaterstaat (flächendeckender wasserwirtschaftlicher Zustand), topografische Karten, die flächendeckende Karte der Quellaustritte, die Ökotopenkartierung von Rijkswaterstaat sowie die digitalen Bewirtschaftlungsdaten der Waterschappen (Ministerie van Verkeer en Waterstaat et al., 2009: 97)".</li> <li>No information found about the age of the hydrometeorological system. Due to the long history of flood protection in the Netherlands, it is assumed that an elaborate hydrometeorological monitoring system has been in place for at least 20 years. The strong regulation of water levels in the Dutch Deltarijn area (see indicator 92) supports the assumption. This justifies score "A".</li> </ul> |  |
| 96  | Level of understanding of groundwater resources | A-    | A dense network of monitoring stations delivers data about the quantitative and chemical status of groundwater bodies in the Deltarijn area (Ministerie van Verkeer en Waterstaat et al., 2009: 98ff.).<br>From 2009, the monitoring network will be expanded to allow a better characterisation of influences by surface water bodies on groundwater (ibid.: 100) beyond WFD requirements.   |  |